

Tunable Nonlocal Spin Control in a Coupled-Quantum Dot System

N. J. Craig,¹ J. M. Taylor,¹ E. A. Lester,¹ C. M. Marcus,^{1,*}
M. P. Hanson,² A. C. Gossard²

The effective interaction between magnetic impurities in metals that can lead to various magnetic ground states often competes with a tendency for electrons near impurities to screen the local moment (known as the Kondo effect). The simplest system exhibiting the richness of this competition, the two-impurity Kondo system, was realized experimentally in the form of two quantum dots coupled through an open conducting region. We demonstrate nonlocal spin control by suppressing and splitting Kondo resonances in one quantum dot by changing the electron number and coupling of the other dot. The results suggest an approach to nonlocal spin control that may be relevant to quantum information processing.

Gate-confined quantum dots have emerged as important systems for the study of the Kondo effect, a subtle many-electron effect in which conduction electrons in the vicinity of a spin impurity screen the spin to form a collective entangled ground state at low temperatures (*1*). The connection between Kondo physics and quantum dots is most evident when an odd number of electrons confined within the dot act as a single spin coupled to electron reservoirs (*2–5*). Recently, molecule-like double quantum dots have also generated wide interest as controllable systems for studying exchange between coupled localized states (*6–8*) and as potential basic building blocks for quantum information processing, with proposed schemes for using double dots as sources of entangled electrons (*9*) and for two-qubit quantum gate operations (*10*).

It is known from bulk systems that magnetic impurities embedded in an electron sea interact with one another by means of an effective spin-spin interaction known as the Ruderman-Kittel-Kasuya-Yoshida (RKKY) interaction, mediated by conduction electrons (*11–13*). The RKKY interaction competes with local interactions between the impurity and conduction electrons that lead to the Kondo effect, and when dominant over Kondo interactions can give rise to complex bulk magnetic states such as spin glasses (*14*). Over the past two decades, the multiple-impurity Kondo system has proven to be a rich

theoretical problem, exhibiting, among other features, a quantum phase transition between Kondo and RKKY regimes at a critical ratio of J/T_K of order unity (depending on the particular geometry), where J is the RKKY interaction strength and T_K is the single-impurity Kondo temperature (*15–17*). Recent theory has begun to extend the study of the two-impurity Kondo model to double quantum dots and related artificial spin systems (*18–24*). Experiments have explored the competition between the Kondo effect and exchange in directly coupled double quantum dots (*7–8*). However, a nonlocal RKKY-like interaction mediated by an interceding electron sea has not been described in an artificial system.

The device consists of two smaller peripheral quantum dots connected to a larger, open central dot, as shown in Fig. 1A (*25*). Measurements were made in a dilution refrigerator with a base electron temperature of ~ 85 mK, estimated from thermally broadened Coulomb blockade peaks measured on individual dots. Voltage bias spectroscopy on the left and right dots in the Coulomb blockade regime give Coulomb charging energies $U \sim 800$ μ eV and level spacings $\Delta \sim 100$ μ eV for both dots. Differential conductances $dI/dV_{L(R)}$ of the left (L) and right (R) dots were measured simultaneously by applying voltage-bias excitations, V , consisting of dc, 11-Hz, and 27-Hz signals, to the open (bottom) lead of the center dot and measuring ac currents at 11 Hz and dc currents at the left reservoir, and ac currents at 27 Hz and dc currents at the right reservoir (both at virtual ground). Modeling the three-dot system as a voltage divider allowed the dc voltages $V_{L(R)}$ that were dropped across the left and right dots to be readily extracted.

Setting the bottom point contact to one fully conducting spin-degenerate mode (conductance on the $2e^2/h$ plateau, where e^2/h is the conductance quantum) configured the central dot to act as a confined but open conducting region coupling the two peripheral dots. Couplings of the left and right peripheral dots were set in the asymmetric Coulomb blockade regime, with relatively strong tunnel couplings $\Gamma_{L(R)}^{(c)}$ toward the central region (c) and weak “outward” couplings $\Gamma_{L(R)}^{(l)}$ to the leads (l) ($\Gamma_{L(R)}^{(l)} \ll \Gamma_{L(R)}^{(c)} \sim \Delta_{L(R)}$). This was done to ensure that any Kondo effect observed in the peripheral dots was associated with conduction electrons in the central dot and not in the left and right leads. The left dot was tuned to contain either an odd number, N , or an even number, $N \pm 1$, of electrons by changing the voltage applied to gate, V_{gL} ; the right dot was tuned to contain either an odd number, M , or an even number, $M \pm 1$, of electrons by

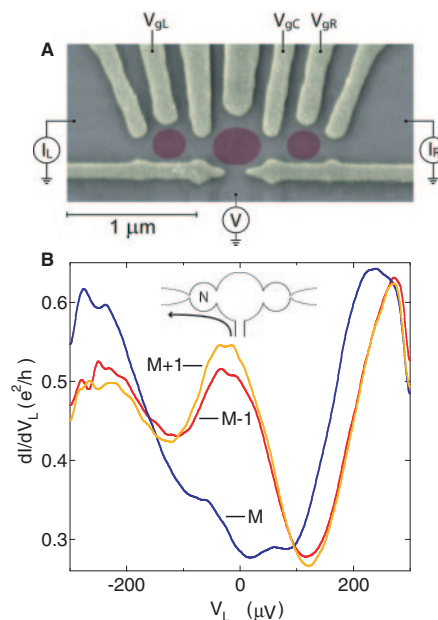


Fig. 1. (A) Scanning electron micrograph of a device identical in design to one measured, with schematic ovals indicating locations of dots upon gate depletion. Gate voltages V_{gL} and V_{gR} change the energies and occupancies of the left and right dots; V_{gC} tunes the coupling of the right dot to the central region. I_L and I_R represent measured ac and dc currents in left (L) and right (R) leads, respectively. (B) Differential conductance dI/dV_L of the left dot for an odd number of electrons, N . When the right dot contains an even number of electrons ($M \pm 1$), a zero-bias peak in dI/dV_L is seen, indicating a Kondo state. When the right dot contains an odd number of electrons (M), the Kondo state in the left dot is suppressed. The states $M - 1$, M , and $M + 1$ for the right dot are consecutive Coulomb blockade valleys.

¹Department of Physics, Harvard University, Cambridge, MA 02138, USA. ²Materials Department, University of California, Santa Barbara (UCSB), Santa Barbara, CA 93106, USA.

*To whom correspondence should be addressed. E-mail: marcus@harvard.edu

changing the voltage applied to gate, V_{gR} .

Kondo effects in the asymmetric-coupling regime were investigated in the individual peripheral dots by pinching off the other peripheral dot entirely from the central region. Each dot individually showed characteristics of the Kondo effect, including elevated conductance through odd Coulomb blockade valleys, a zero-bias peak in the differential conductance dI/dV

$dV_{L(R)}$ in odd valleys, and temperature dependence of valley height in qualitative agreement with theory. When either dot was in an even-occupancy valley, turning on its coupling to the central dot (set initially at zero) did not qualitatively affect signatures of the Kondo effect in the other dot.

A more interesting situation arose when both dots contained an odd number of elec-

trons and the Kondo states in the peripheral dots interacted. Figure 1B shows the relevant comparison: When the right dot contains an even number of electrons, the odd (N -electron) Coulomb blockade valley in the left dot exhibits Kondo signatures, including a pronounced zero-bias peak in dI/dV_L ; however, when the right dot contains an odd number of electrons, the Kondo signatures in the left dot, including the zero-bias peak, are absent. Moving sequentially to the next even valley in the right dot brings back the Kondo signatures in the left dot. We interpret the suppression of Kondo signatures in the odd-odd case as indicating that RKKY interaction between the dots dominates the Kondo effect, forming either an overall spin-zero state (which has no Kondo effect) or a spin-one state with a much weaker Kondo effect at the temperatures measured.

Figure 2 shows the same effect with the roles of the dots reversed, measured in a different range of gate voltages. As anticipated, we now observe a suppression of the Kondo effect in the right dot—shown in the full plot of dI/dV_R versus V_R and V_{gR} —when the left dot contains an odd number of electrons (Fig. 2, B and D). When the occupancy of the left dot is made even by the removal of one electron, the zero-bias signature of the Kondo effect in the right dot is recovered (Fig. 2, A and C).

When both dots have an odd number of electrons, the suppression of the zero-bias peak in one dot can be tuned continuously by changing the central coupling strength of the other dot. Figure 3A shows the differential conductance dI/dV_L of the left dot as the coupling, $\Gamma_R^{(c)}$, of the right dot to the central region is tuned from strong to weak by changing the coupling gate voltage, V_{gC} . The zero-bias Kondo peak in the left dot first splits before being suppressed entirely as the right dot is coupled to the central dot. In contrast, when the right dot contains an even number of electrons, the strength of its coupling has little effect on the zero-bias peak of the left dot (Fig. 3B). The splitting of the zero-bias peak is a signature of quantum coherence between Kondo states on the peripheral dots (22, 26). The magnitude of the splitting corresponds to a splitting in source-drain voltage of $V_L \sim 0.12$ meV and does not depend strongly on the coupling of the right dot once it appears. This splitting is comparable to the width of the zero-bias peak in the left dot (full width at half maximum ~ 0.1 mV, giving $T_K \sim 0.6$ K) before the right dot is coupled. However, it is not yet known if the similarity of scales for the Kondo peak width and the splitting is a general phenomenon. The physical mechanism that gives rise to the splitting and how its magnitude is related to the strength of the

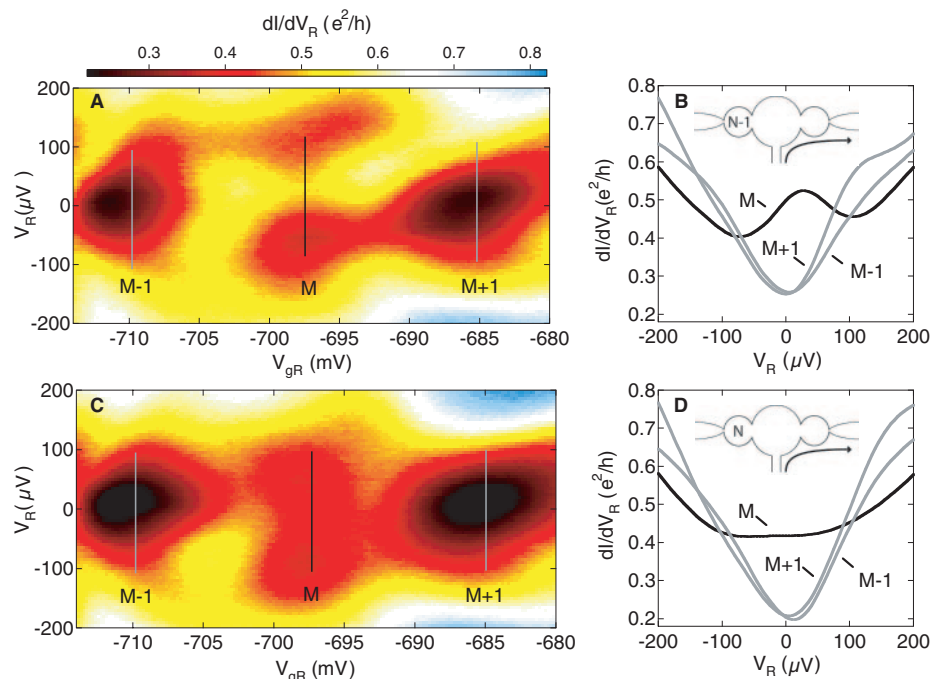


Fig. 2. (A) Differential conductance dI/dV_R of the right dot as a function of both V_{gR} and V_R shows a zero-bias feature for odd occupancy, M . Here, the left dot contains an even number ($N-1$) of electrons. (B) Slices taken mid-valley from (A) show a zero-bias peak only for odd occupancy M of the right dot. (C) dI/dV_R of the right dot as a function of both V_{gR} and V_R , now with an odd number (N) of electrons in the left dot. Suppression of the zero-bias peak in the middle valley is evident. (D) Slices taken mid-valley from (C) show the suppression of the zero-bias peak for the odd-odd (two-impurity) case.

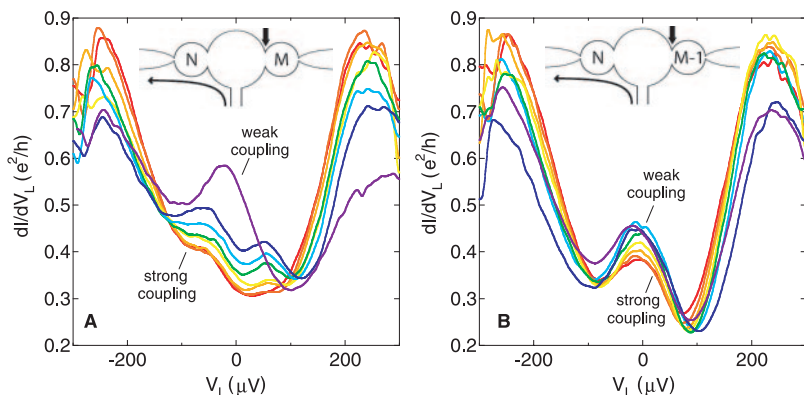


Fig. 3. (A) Differential conductance through the left dot for various values of the coupling between the right dot and the center region. The left dot and right dot both contain odd numbers of electrons (N and M , respectively). For strong couplings, the zero-bias resonance in the left dot is fully suppressed; suppression decreases as the coupling is decreased, so that the zero-bias resonance is fully evident for weak coupling. The splitting of suppressed peaks is consistent across a range of couplings. (B) Differential conductance through the left dot for various couplings between the right dot and center region, with an even number of electrons ($M-1$) in the right dot. Traces exhibit a strong zero-bias resonance across all values of the coupling. The downward arrow in the inset indicates where the coupling is being changed.

RKKY interaction is not a settled matter (26).

Both single-dot and coupled-dot configurations (27) show roughly linear peak splitting as a function of in-plane magnetic field in the range $B_{\parallel} \sim 2$ to 4 T, with slopes of ~ 70 $\mu\text{eV/T}$. This slope is larger by a factor of ~ 1.5 than expected for the GaAs g factor of 0.44, but is consistent with g -factor measurements in other devices made from the same wafer. Both the single-dot and coupled-dot cases show an unexpected strengthening of the zero-bias peaks with B_{\parallel} before splitting is observed (for $B_{\parallel} < 2$ T). This is not understood at present and will be investigated in more favorable device geometries in future work.

We have demonstrated coherent control of quantum dot spins by a nonlocal RKKY-like interaction. The present results suggest an approach to nonlocal control of spin and entanglement (28–30), which may be relevant to scaling of solid-state quantum information processing beyond the constraint of nearest-neighbor exchange.

References and Notes

1. An introductory review of the Kondo effect in quantum dots is available in L. Kouwenhoven, L. Glazman, *Physics World* **14**, 33 (2001).
2. L. I. Glazman, M. E. Raikh, *JETP Lett.* **47**, 452 (1988).
3. T. K. Ng, P. A. Lee, *Phys. Rev. Lett.* **61**, 1768 (1988).
4. Y. Meir, N. S. Wingreen, P. A. Lee, *Phys. Rev. Lett.* **70**, 2601 (1993).
5. D. Goldhaber-Gordon *et al.*, *Nature* **391**, 156 (1998).
6. A recent review of double quantum dots, with extensive citations to the literature, is available in W. G. van der Wiel *et al.*, *Rev. Mod. Phys.* **75**, 1 (2003).
7. H. Jeong, A. M. Chang, M. R. Melloch, *Science* **293**, 2221 (2001).
8. J. C. Chen, A. M. Chang, M. R. Melloch *Matter* **1**, cond-mat/0305289 (2003).
9. D. Loss, E. V. Sukhorukov, *Phys. Rev. Lett.* **84**, 1035 (2000).
10. D. Loss, D. P. DiVincenzo, *Phys. Rev. A* **57**, 120 (1998).
11. M. A. Ruderman, C. Kittel, *Phys. Rev.* **96**, 99 (1954).
12. T. Kasuya, *Prog. Theor. Phys.* **16**, 45 (1956).
13. K. Yosida, *Phys. Rev.* **106**, 893 (1957).
14. A. C. Hewson, *The Kondo Problem to Heavy Fermions* (Cambridge Univ. Press, Cambridge, 1993).
15. C. Jayaprakash, H. R. Krishnamurthy, J. W. Wilkins, *Phys. Rev. Lett.* **47**, 737 (1981).
16. B. A. Jones, C. M. Varma, *Phys. Rev. Lett.* **58**, 843 (1987).
17. B. A. Jones, C. M. Varma, *Phys. Rev. B* **40**, 324 (1989).
18. A. Georges, Y. Meir, *Phys. Rev. Lett.* **82**, 3508 (1999).
19. R. Aguado, D. C. Langreth, *Phys. Rev. Lett.* **85**, 1946 (2000).
20. W. Izumida, O. Sakai, *Phys. Rev. B* **62**, 10260 (2000).
21. T. Aono, M. Eto, *Phys. Rev. B* **63**, 125327 (2001).
22. R. Aguado, D. C. Langreth, *Phys. Rev. B* **67**, 245307 (2003).
23. V. N. Golovach, D. Loss, *Europhys. Lett.* **62**, 83 (2003).
24. Y. Utsumi, J. Martinek, P. Bruno, H. Imamura, *cond-mat/0310168* (2003).
25. The device was patterned using surface gates (Cr/Au) fabricated by electron-beam lithography. Peripheral dots have a lithographic area of $0.25 \mu\text{m}^2$; the central dot has a lithographic area of $0.35 \mu\text{m}^2$. The device was fabricated on a delta-doped GaAs/AlGaAs heterostructure with electron gas 100 nm below the surface. Mobility $2 \times 10^5 \text{ cm}^2/\text{Vs}$ and two-dimensional electron density $2 \times 10^{11} \text{ cm}^{-2}$ give a transport mean free path of $\sim 2.0 \mu\text{m}$ in the unpatterned material.
26. C. M. Varma, personal communication.
27. N. J. Craig *et al.*, data not shown.
28. T. J. Osborne, M. A. Nielsen, *Phys. Rev. A* **66**, 032110 (2002).

29. A. Saguia, M. S. Sarandy, *Phys. Rev. A* **67**, 012315 (2003).
30. F. Verstraete, M. Popp, J. I. Cirac, *Phys. Rev. Lett.* **92**, 027901 (2004).
31. We thank C. Varma, B. Halperin, and A. Yacoby for useful discussion. Supported in part by the Defense Advanced Research Projects Agency (DARPA)—Quantum Information Science and Technology (QUIST) program, the Army Research Office under DAAD-19-02-1-0070 and DAAD-19-99-1-0215, and

the NSF–Nanoscale Science and Engineering Center program at Harvard. Research at UCSB was supported in part by iQUIST. Supported by the Harvard College Research Program (N.J.C.), NSF (J.M.T.), and Middlebury College (E.A.L.).

8 January 2004; accepted 11 March 2004

Published online 25 March 2004;

10.1126/science.1095452

Include this information when citing this paper.

Self-Assembly of Ordered, Robust, Three-Dimensional Gold Nanocrystal/Silica Arrays

Hongyou Fan,^{1,2*} Kai Yang,³ Daniel M. Boye,⁴ Thomas Sigmon,³ Kevin J. Malloy,³ Huifang Xu,² Gabriel P. López,² C. Jeffrey Brinker^{1,2*}

We report the synthesis of a new nanocrystal (NC) mesophase through self-assembly of water-soluble NC micelles with soluble silica. The mesophase comprises gold nanocrystals arranged within a silica matrix in a face-centered cubic lattice with cell dimensions that are adjustable through control of the nanocrystal diameter and/or the alkane chain lengths of the primary alkanethiol stabilizing ligands or the surrounding secondary surfactants. Under kinetically controlled silica polymerization conditions, evaporation drives self-assembly of NC micelles into ordered NC/silica thin-film mesophases during spin coating. The intermediate NC micelles are water soluble and of interest for biolabeling. Initial experiments on a metal-insulator-metal capacitor fabricated with an ordered three-dimensional gold nanocrystal/silica array as the “insulator” demonstrated collective Coulomb blockade behavior below 100 kelvin and established the current-voltage scaling relationship for a well-defined three-dimensional array of Coulomb islands.

Despite recent advances in the synthesis and characterization of nanocrystals and NC arrays (1, 2), there remain numerous challenges that limit their practical use. First, for example, synthesis procedures generally used for metallic and semiconducting NCs use organic passivating ligands that make the NCs water insoluble. This is problematic for biological imaging and more generally for uniform incorporation of nanocrystals in hydrophilic matrices like silica or titania needed for the fabrication of robust, functional lasers (3, 4). Second, while steric stabilization of nanocrystals with organic passivating layers suppresses attractive particle-particle interactions, thereby facilitating self-assembly of NC arrays, it necessarily causes the arrays to be mechanically weak and often thermally

and chemically unstable. Third, although evaporation of NC dispersions has been used to prepare quasi-3D NC arrays (5) and films containing isolated 3D NC islands (6), there exist no procedures to reliably fabricate 3D NC arrays as uniform thin films. These combined factors ultimately limit routine integration of nanocrystals into 3D artificial solid devices, in which electronic, magnetic, and optical properties could be tuned through electron charging and quantum confinement of individual NCs mediated by coupling interactions with neighboring NCs (7, 8).

Here, we describe the direct synthesis of water-soluble NC gold micelles and their further self-assembly with silica into robust, ordered 3D NC arrays in bulk or thin-film forms. The synthetic approach is general and avoids the complicated multistep procedures reported previously (9). Our concept is to consider monosized, organically passivated NCs as large hydrophobic molecules that, if incorporated individually into the hydrophobic interiors of surfactant micelles, would result in the formation of monosized NC micelles composed of a metallic (or other) NC core and a hybrid bilayer shell with precisely defined primary and secondary layer thicknesses (Fig. 1H). The hydrophilic NC

¹Sandia National Laboratories, Chemical Synthesis and Nanomaterials Department, Advanced Materials Laboratory, 1001 University Boulevard SE, Albuquerque, NM 87106, USA. ²The University of New Mexico/NSF Center for Micro-Engineered Materials, Department of Chemical and Nuclear Engineering, and ³Center for High Technology Materials, Albuquerque, NM 87131, USA. ⁴Physics Department, Davidson College, Davidson, NC 28035, USA.

*To whom correspondence should be addressed. E-mail: hfan@sandia.gov (H.F.), cjbrink@sandia.gov (C.J.B.)